

Cancel Transfer—Rescind Letter

Date: _____

Client Name(s): _____

Address: _____

Account #: _____

I wish to cancel and rescind my previous instruction to transfer my account assets to _____ . I no longer wish for assets to be transferred out of my account with you and Pershing LLC. By checking below I authorize you to:

Reopen my original account, stop sending additional positions out (residual sweep function) and take off any blocks or holds from my original account.

After reopening my original account and processing the changes above, please reclaim all assets, which were delivered to _____ based on my original instructions.

Immediately stop my account transfer. By checking this option I acknowledge that there is an automated transfer (ACAT) in progress and you will make a “best efforts” attempt to stop this transfer. **I understand that such a request may not be successful based on the timing of the request to stop the transfer.**

If the attempt to stop the transfer is unsuccessful, please proceed to reclaim back all assets delivered to _____ based on my original instructions.

Immediately stop my account transfer going to _____ and process the transfer going to _____. I will provide a new signed Transfer Form dated after this rescind letter.

Client’s Signature: _____

Joint Client’s Signature: _____
(All owners must sign)



RSCN